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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/914,523	08/29/2001	Yair Oren	20568-68756	7992
46363 P A TTER SON	7590 01/26/2007 & SHERIDAN, LLP/		EXAMINER TRAN, DZUNG D	
LUCENT TEC	CHNOLOGIES, INC			
595 SHREWSBURY AVENUE SHREWSBURY, NJ 07702			ART UNIT	PAPER NUMBER
5,112,110,000	,		2613	
SHORTENED STATUTOR	RY PERIOD OF RESPONSE	MAIL DATE	DELIVER	Y MODE
3 MC	ONTHS	01/26/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

	Application No.	Applicant(s)				
	09/914,523	OREN ET AL.				
Office Action Summary	Examiner	Art Unit				
	Dzung D. Tran	2613				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION  B6(a). In no event, however, may a reply be time  rill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).				
Status						
<ol> <li>Responsive to communication(s) filed on 29 December 2a)</li> <li>This action is FINAL.</li> <li>Since this application is in condition for alloware closed in accordance with the practice under Expression 25 per properties.</li> </ol>	action is non-final. nce except for formal matters, pro					
Disposition of Claims						
4) Claim(s) 1-8 and 23 is/are pending in the application Papers  9) The specification is objected to by the Examine 10) The drawing(s) filed on is/are: a) access Applicant may not request that any objected to be the Examine 11) The oath or declaration is objected to by the Examine 11) The oath or declaration is objected to by the Examine 11) The oath or declaration is objected to by the Examine 11) The oath or declaration is objected to by the Examine 11) The oath or declaration is objected to by the Examine 11) The oath or declaration is objected to by the Examine 11) The oath or declaration is objected to by the Examine 11) The oath or declaration is objected to by the Examine 11) The oath or declaration is objected to by the Examine 11)	vn from consideration.  relection requirement.  r.  epted or b) □ objected to by the forwing(s) be held in abeyance. Section is required if the drawing(s) is objected to by the forwing(s) is objected to by the forwing(s).	e 37 CFR 1.85(a). sected to. See 37 CFR 1.121(d).				
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:  1. Certified copies of the priority documents 2. Certified copies of the priority documents 3. Copies of the certified copies of the prior application from the International Bureau * See the attached detailed Office action for a list of	s have been received. s have been received in Applicati ity documents have been receive (PCT Rule 17.2(a)).	on No ed in this National Stage				
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:	ate				

#### **DETAILED ACTION**

1. Applicant's request for reconsideration of the finality of the rejection of the last Office action is persuasive and, therefore, the finality of that action is withdrawn.

### Specification

# Claim Rejections - 35 USC § 112

- 2. The following is a quotation of the first paragraph of 35 U.S.C. 112:
  - The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.
- 3. Claim 23 isrejected under 35 U.S.C. 112, first paragraph, as based on a disclosure which is not enabling. The switches is critical or essential to the practice of the invention, but not included in the claim(s) is not enabled by the disclosure. See *In re Mayhew*, 527 F.2d 1229, 188 USPQ 356 (CCPA 1976).

Claim 23 claimed "when the first carrier is not capable of transmitting first information over the fiber, the first information is modulated on the second carrier for transmission over the fiber". Without the switches and their connection as disclosed in the Specification and drawing, it is not enabled one of skill in the art how, the first information is modulated on the second carrier for transmission over the fiber when the first carrier is not capable of transmitting first information over the fiber.

Claim Rejections - 35 USC § 103

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4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 5. Claim 23 is rejected under 35 U.S.C. 103(a) as being unpatentable over Sutter et al. U.S. Patent no. 5,760,934 in view of Badr et al. U.S. Patent no. 6,567,194.

Regarding claim 23, Sutter discloses in figure 2, a method of a closed loop optical fiber for carrying information modulated on at least two optical carriers (e.g., information is modulated with wavelength  $\lambda 1$  and wavelength  $\lambda 4$ , see col. 6, lines 24-46), a first one of the carriers having a first wavelength  $\lambda 4$  and a second one of the carriers having a second wavelength λ1, at least two nodes (e.g., N1, N2) at a first one of which first information modulated on the first carrier  $\lambda 4$  and second information modulated on the second carrier  $\lambda 1$  is to be recovered and transmitted, the first node N1 comprising a first demultiplexer X4N for demultiplexing the first carrier  $\lambda$ 4 from the fiber, a second demultiplexer X1S for demultiplexing the second carrier  $\lambda 1$  from the fiber, a first multiplexer I1S for multiplexing the first carrier  $\lambda 4$  on the fiber, a second multiplexer I1N for multiplexing the second carrier  $\lambda 1$  on the fiber, and apparatus ADM (e.g., ME1) for receiving and transmitting first and second information ( $\lambda 1$ , ,  $\lambda 4$ ) the apparatus ME1 for receiving and transmitting first and second information consisting essentially of a first receiver RN for demodulating first information and a first transmitter EN for modulating first information on the first carrier  $\lambda 4$  before the first carrier  $\lambda 4$  is

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placed on the fiber by the first multiplexer I1S, a second receiver RS for demodulating second information and a second transmitter EN for modulating second information on the second carrier  $\lambda 1$  before the second carrier is placed on the fiber by the second multiplexer I1N.

Sutter further discloses the nodes with ADM are provided with a 1+1 line MSP.

Badr discloses an optical communication network comprises switch node for switching the information to the desired fiber and the system can be figured to carry lower priority traffic on the protection fibers or wavelengths during normal operation to increase the overall normal operating capacity of the system (see Figure 1, abstract). It would have been obvious to an artisan at the time of the invention was made to include the teaching of Falkenstein in the system of Sutter. At the time of the invention was made, one of ordinary skill in the art would have been motivated to do that in order to switch the information from one carrier (e.g., for example first carrier not capable of transmitting the first carrier) over another carrier in the same fiber. Thus, it enhances the optical system reliability.

6. Claims 1-8 are allowed.

# Response to Arguments

7. Applicant's arguments with respect to claim 23 have been considered but are moot in view of the new ground(s) of rejection.

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Conclusion

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8. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Dzung D Tran whose telephone number is (571) 272-

3025. The examiner can normally be reached on 9:00 AM - 7:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Jason Chan can be reached on (571) 272-3022. The fax phone number for

the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

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Dzung Tran 01/22/2006

DZUNG TRAN

PRIMARY PATENT EXAMINER